Attorney Docket No. 24200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:

OSES et al.

Serial No. 10/073,941

Filed: April Q, 2002

For: COMPOSITION COMPRISING A MIXTURE OF ALKOXYLATED MONO-, DI- and TRIGLYCERIDES AND GLYCERINE

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner of Patents Washington, D.C. 20231 S I R :

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [X] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

- i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or
- [] ii. a check in the amount of \$180.00 required by 37 C.F.R. §1.17(p).
- [] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

PETITION: It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following boxe must be checked.]

[] CERTIFICATION is attended to in box (e) below.

- The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.
- Certification [Check one] [Certification is required only] e. if box (b)(i) or box (c) is checked.]
 - I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

- Appropriate certification is attached.
- If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

Respectfully submitted, NATH & ASSOCIATES PLLC

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Inventor: OSES et al.

Serial No. 10/073,941 Filed: February 14, 2002

Title: COMPOSITION COMPRISING A MIXTURE OF ALKOXYLATED MONO-, DI-

AND TRIGLYCERIDES AND GLYCERINE

TRANSMITTAL LETTER

Commissioner of Patents Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Information Disclosure Statement
- (3) Information Disclosure Statement Transmittal Letter
- (4) PTO Form 1449

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

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